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REVIEW ON PELLETIZATION: DRY POWDER LAYERING OF PELLETS USING TANGENTIAL SPRAY ROTOR PROCESSOR/INSERT

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ABSTRACT

Pelletization turns fine powders or granules into small, round pellets for easy flow and handling. These pellets offer advantages such as improved absorption, modified drug release patterns and reduced irritation at application sites. Dry powder layering is gaining popularity in the pharmaceutical industry due to its cost and time efficiency. This method is an effective and straightforward process and can be alternative to liquid-based coating techniques. In recent years, active pharmaceutical ingredient layering onto multi-particulate core materials. One innovative pelletization method uses a rotor processor for powder layering. This process involves applying drug powder onto sugar spheres and spraying a binder solution to achieve uniform distribution and enhance drying efficiency. Compared to traditional methods, this dry powder layering is faster and more energy-efficient, reducing drying time and energy consumption. It is a streamlined and eco-friendly method as no solvent is required which makes it beneficial for pellet coating. This article provides insights on the powder layering technique with innovative fluid bed pelletizing technologies such as rotor processor, glatt powder coater granulator series, tangential spray in the rotary insert, complex perfect sphere insert, and glatt Procell series and their applications.

Keywords: Powder-layering, Glatt powder coater granulator, Rotor insert, Tangential fluid bed, Pellet layering.

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INTRODUCTION

In the 1950s, pellets as dosage form units emerged in pharmaceuticals, offering faster, cost-effective and efficient processing methods [1]. These small, spherical particles can be created by agglomerating fine powders or granules and can be used in tablet or capsule formulations [2]. Pellets are small, free-flowing granules used in pharmaceuticals, typically ranging from 500 to 2000 µm in size. They make oral medication easier to take and improve patient compliance [3,4]. They ensure consistent bioavailability and enhance drug absorption through a multiparticulate unit system [5]. These are prepared using various technologies such as layering with powder, solution, or suspension, extrusion spheronization, and agglomeration [6]. These methods create granules, pellets, or spheroids, collectively referred to as pelletization or spheronization. Advancements in polymer-based coating excipients, known as film coating, have enabled several benefits like enhancing ease of handling (smooth surface), improving appearance for better compliance, extending shelf-life by shielding from environment elements (moisture, oxygen and light), control drug release (sustained release), directing drug release to specific sites (enteric coating) [7,8]. Fluidized bed technology uses different methods such as top spray, bottom spray, Wurster, and rotor systems [9]. These methods vary based on the direction of spray and distribution of air/gas into the processing chamber [8]. They enable various processes such as granulation, pelletization, drying, coating, and layering can be carried out efficiently. In the layering process, inert spherical cores (starters or non-pareils) are used as a base. Active ingredients in aqueous or organic solutions (suspensions) or powder can be sprayed onto these cores until the formation of pellets of the desired size with optimized drug loading [10].

Common inert excipients include sugar (sucrose maximum of 92%), sucrose, microcrystalline cellulose (MCC, Cellets, Celphere), which are water insoluble and have high abrasion resistance, making them easy to coat [11,12], starch (Suglets, Nu-Pareil PG) [8,13,14], isomalt, anhydrous dibasic calcium phosphate, lactose, tartaric acid, or siliconbased materials and waxes can be used for layering [15,16]. It is found that the yield and some characteristics of resulting pellets are influenced by the original non-pareil properties such as solubility, hardness, and friability. Proportion of beads constitutes about 15–70% of the final product and more preferred range is 20–65% [16]. According to the U.S. Pharmacopeia guidelines, the size specification for pharmaceutical beads typically ranges from 0.5 mm to 1.5 mm. Generally, beads are passed through an 18-20 mesh sieve, although a 20-25 mesh sieve is preferred, with 25-35 mesh being the most desired. Fig. 1 depicts drug delivery platforms using pellets or micropellets [16,17].

The coating process is complicated, governed by several inconstant, making real-time quality monitoring vital for automated production [18]. In general, industrial processes rely on off-line assessment of critical quality attributes. This coating process endpoint is described as the amount of coating application and weight gain, which provides limited data on coating quality (thickness, uniformity, and density) and is inadequate for predicting and responsible for drug release behavior. Realtime process analysis technologies have been adopted to better monitor and control pharmaceutical operations [19]. The non-destructive, inline measuring tools offer quick feedback, enabling immediate process adjustments, and more effective control of critical quality attributes of final products. Several PAT tools can be used for monitoring and ensure the high quality of coated solid dosage units. These include: (A) spectroscopic methods like near-infrared spectrum [20], raman spectroscopy [21], laser-induced breakdown spectroscopy (LIBS), (B) imaging methods like terahertz pulse imaging (TPI) [22], near-infrared imaging, magnetic resonance imaging, and (C). Microscopic methods such as confocal laser scanning microscope, atomic force microscope, and scanning electron microscope can be used [23-25].

ADVANTAGES AND DISADVANTAGES OF PELLETS

It has ideal shape for coatings due to low surface area, provides higher coating efficiency, and prevents dusting issues. It maintains the compatibility of different drugs, allows for controlled and sustained release of the active ingredient, improving therapeutic outcomes [26],

F*i***g***.* **1: Drug delivery platforms using pellets or micropellets**

easy to adjust doses through combination of different pellets [27]. It protects sensitive drugs from environmental factors, improving shelf life [28]. It has uniform shape along a narrow particle size distribution to accelerate coating. These are distributed evenly in the digestive tract, leading to more consistent drug absorption and reduced risk of local irritation [3,7], minimize the chance of dose dumping liken to singleunit dosage forms. Its consistent size and shape lead to predictable and reproducible bioavailability due to larger surface area as considered to unit dosage forms [3,28]. Disadvantages such as pellet preparation need skilled staff and specialized equipment also time-consuming and quite complex process. Pellets are often rigid, posing challenges for compression into tablets and often requiring encapsulation which may add an additional step as capsule filling [3,26].

LAYERING TECHNIQUES

Layering technology involves applying active substances in layers as a solution, suspension, or powder onto neutral pellets that are at least 100 µm in size using a film coating technique. This process significantly increases the weight of the pellets, often by several 100%, resulting in dust-free, round pellets with a narrow particle size distribution. Dry powder layering can be faster and more efficient than using liquid active ingredients and can achieve weight applications of up to 300% per hour using the rotor process. Following are the properties of pellets produced through fluid bed active material layering such as large layer thicknesses, high active ingredient content, dense and compact structure, good flow behavior, round pellets, perfect for coating, and multi-layering for different active substances [29]. This layering technique is further of two types namely powder layering and solution/suspension layering [26,30].

Powder layering technique

Pelletization uses inert substrates like sugar spheres. A binder solution is sprayed on these spheres to enlarge them, and then active ingredient powder is added in a rotating pan or fluidized bed [31]. In the powder layering method, non-pareil seeds are placed in the rotor bed and tumbled for 5–10 min [32,33]. Then, the seeds are sprayed with a binder solution until they are moist [34]. Tangentially spray the binder solution at a controlled rate while adding the drug and excipient powder mixture [35,36]. Spray the solution until the desired amount of powder is applied. Then, dry the wet pellets [9,27,37]*.* The powder must be evenly spread onto the seed's surface during rolling to ensure a spherical shape [31].

Initially, drug particles attach to starter seeds and form pellets using liquid bridges created by sprayed liquid. These liquid bridges are later substitute by solid bridges with a binder or any soluble material, including the drug. The drug and binder solution is applied in layers until the pellets reach the desired size. Accurate powder delivery at a set

rate must be balanced with the binder liquid application rate [38]. If this balance is not maintained, over-wetting may occur. Dry powder layering, faster and more efficient than liquid methods, achieves high-weight applications quickly, resulting in dense, compact pellets with excellent flow behavior, ideal for multi-layer coatings. The dust generation can occur, reducing product quality and yield. As no solvent drying is required, the powder layering process is faster [39,40]. They do not require solvents, using only a minimal amount of water emulsified with a liquid plasticizer as a binder to facilitate layering on beads [36]. Micronizing the drug before layering improves the efficiency of the layering process and produces smooth pellets that are absolute for film coating. However, micronization can negatively affect the flow, which is crucial for the delivery rate. To maximize the interactions between drug and inert cores a micronized powder, finer the powder, the higher the yield of pellets [41]. This can cause powders to stick to the hopper sides or feed screw and lead to rat holes in hopper. To enhance flow characteristics, glidant can be added to the powder before processing [42]. The rheological nature of the binding liquid, the liquid applying rate, and the drying temperature must be optimized to obtain the desired product temperature. Furthermore, the powder must be delivered at a rate that sustain a balance of the surface wetness of cores and powder adhesion rate [31,40,43]. Fig. 2 depicts drug layered to functionally coated pellets.

To enhance functionality, additional layers of polymers or other materials can be coated onto these pellets. These functional coatings have purposes such as taste masking, moisture protection, modified drug release such as enteric coating, or improve stability of pellets. Fig. 3 depicts fluid bed rotor dry powder layering technology [8,27,28].

Why consider dry powder layering

Dry powder layering can be preferred for pharmaceutical applications because many drugs are chemically unstable in solvents or water. In addition, other processes like Wurster drug layering can be time-consuming [44]. Dry powder layering offers a solvent-free environment, reducing the risk of chemical instability and speeding up the manufacturing process compared to traditional methods. This makes it a favorable choice for the efficient coating of pellets [45-48]. Fig. 4 depicts the new glatt powder feed concept spatial decoupling of fluid bed and powder transfer unit.

The new glatt powder feed concept separates the fluid bed for coating or drying from the powder transfer unit in pharmaceutical manufacturing. This separation improves efficiency by allowing independent control of airflow, and temperature for each unit, optimizing coating, and drying processes without disrupting powder feeding. This innovation reduces downtime, streamlines operations, and boosts productivity in pharmaceutical production.

Fig. 2: Drug-layered to functionally coated pellets

Fig. 3: Fluid bed rotor dry powder layering technology

Fig. 4: New glatt powder feed concept spatial decoupling of fluid bed and powder transfer unit

Advantages of powder layering

It is faster and better for moisture- and heat-sensitive actives compared to aqueous or solvent coating but requires careful balancing of powder and binder spraying rate. This method ensures a uniform coating compared to separate additions. It optimizes process conditions, increasing pellet density, and preventing powder loss and agglomeration. After layering, pellets are often coated with filmforming polymers to mask taste, protect from moisture, and modify drug release [49]. If the drug and polymer are incompatible, a seal coat with rapidly dissolving polymers can be done to ensure compatibility and smooth coating for optimal drug release [27].

Solution or suspension layering technique

The solution or suspension layering, the powder and materials are mixed with a solvent and then applied to the pellets. A mixture is sprayed onto a starter core, forming a uniform coating. As the solvent evaporates, the coating material crystallizes, creating solid connections

between the core and the layered drug substances or polymers [8]. This process creates a consistent and strong coating on pellets, enhancing both control over how the drug is released and the overall stability of the coating [31,36,50,51].

INTRODUCTION ROTOR TECHNOLOGY

Researchers and process engineers have significantly advanced alternative technologies to apply high active pharmaceutical ingredient (API) loading or functional coatings, reducing coating solvents and processing times. The rotor coater or rotor processor is a new innovative process designed to enhance efficiency and performance [52]. Its distinctive design enables applications in dry powder coating, spherical granulation, and solution or suspension coating. Over the years, the rotor technology has evolved from a flat design to a conical rotor to provide better product movement for efficient coating and simultaneous drying. The rotor processor handles each step from dry API coating and solution coating to drying, all in one unit. In general, there is a sugar sphere needed to transfer products between different equipment for each step. Overall processing time has been significantly reduced, leading to increased productivity. The rotor processor includes a stationary chamber with a rotatable conical rotor or disc in which particles are circulated for coating or powder layering. The rotor's outer edge is very close to the chamber's inner wall. The gap or slits supplies airflow at a specific temperature. An expansion chamber provides housing for drying airflow ducts for moving products inside the rotor chamber [53]. Glatt powder coater granulator (GPCG) has a three-way air-atomizing nozzle where it can operate the dry powder, liquid, and atomizing air simultaneously. The nozzle tip diameter can be changed. This adjusts the spray's velocity and turning the screw will change the spray's width. Fig. 5 depicts fluid bed rotor dry powder layering with combined with liquid/powder feed nozzle [54].

Fluid bed rotor dry powder layering combines a fluid bed processor with a rotor mechanism to apply coatings using liquid or powder feed nozzles [55-57]. This method offers precise control over coating thickness and uniformity, improving product quality. It reduces processing time and energy use through efficient drying. The rotor ensures even coating distribution, enhancing adhesion, and coverage. This technology is valued for improving the overall performance of the coating of pellets [58]. It utilizes a fluidized bed where particles are suspended and coated with layers of dry powder. This process allows for controlled coating thickness and uniform distribution of active ingredients or coatings onto particles. Scaling up ensures efficient production by maintaining consistent process parameters such as airflow, temperature, and powder feed rates. It optimizes coating efficiency and product quality while meeting larger production demands in pharmaceutical [59]. The simplified rotor bed installation includes a new powdered nozzle concept with pneumatic transfer for efficient powder conveying through pipelines [60]. This design spatially decouples the rotor from the fluidization chamber, improving system performance and flexibility [61]. It eliminates the need for a lifting column for powder feeding and features explosion prevention with shock-resistant powder feeding lines up to 12 bar pressure. This streamlined system offers easier cleaning, lower investment costs,

Fig. 5: Fluid bed rotor dry powder layering: combined with liquid/powder feed nozzle

modular components and scalability across industries. It adheres to good automated manufacturing practice (GAMP) guidelines, includes FDA CFR 21 Part 11-compliant electronic records and ensures safety with atmospheres explosible (ATEX-certified solutions) for hazardous areas.

Following mentioned are the equipment's provided by glatt group which can be used for powder layering and various other pelletization processes.

Glatt powder coater granulator (GPCG/GPCGPRO) series

It is a circular single-chamber fluid bed system used for versatile processes such as drying, spray agglomeration, film coating, layering [62], and pelletizing within one plant unit. This system offers high flexibility, ensuring uniformly shaped products with consistent quality. It is meant for continuous operation and ensures the GPCG with a double chamber filter system, allowing uninterrupted processing [63]. It supports multiple insert options such as high-speed Wurster (bottom spray), rotor (tangential spray), CPS inserts, and more [64-68]. The spraying can be adapted for top, bottom, or tangential applications, catering to various fluid bed processes [17,69]. Various batch sizes range from 5 to 1,500 kg, with custom sizes available to meet specific production needs [67,70]. Fig. 6a depicts fluid bed granulator and coater GPCG 300 at the glatt technology center in Weimar, Germany [70].

Glatt procell® Labsystem

It has six versatile modular systems that support fluid bed, spouted bed, and rotor processes. This can perform spray granulation,

microencapsulation, spray agglomeration, film coating, hot melt coating, and powder layering. These systems can be operated in continuous or batch modes with top spray, bottom spray, tangential spray, and Wurster process [71]. Fig. 6b depicts mobile glatt fluid bed/spouted bed laboratory unit ProCell® LabSystem at the glatt technology center in Weimar, Germany, with six modular all-rounder systems [41,72,73].

Tangential spray in the rotary insert and complex perfect sphere (CPS) technology

The product container has cylindrical walls, a solid spinning disc, adjustable height, speed, and an immersed nozzle. Definite importance should be placed on the production of pellets by direct pelletization and various processes such as solutions or suspension layering and powder layering, with a higher content of active pharmaceutical ingredients is achievable, particle with narrow size distribution, high bulk density can be obtained [30,74-82]. Fig. 6c and d depict tangential spray in rotor insert (Glatt) [54,83]. The process inserts are ideal for producing pellets by direct pelletizing and various types of powder layering. Rotor process inserts with the tangential nozzle is installed directly in the product bed [84,85]. The adaptable air gap of the rotor allows the proper airflow to be selected at any time. The flexible rotor processor allows the coating of different APIs in separate layers to ensure chemical compatibility. This technology makes IR pellets, MR pellets, granules, or multiple particles with a lot of active ingredients quickly and efficiently. This can achieve up to 500% weight gain and product yields of over 95% with high bulk density. The overall processing time is significantly reduced, and productivity is enhanced by minimizing the need for storage during intermediate stages [53].

Fig. 6: (a) Fluid bed granulator and coater GPCG 300 at the glatt technology center in Weimar, Germany (b). Mobile glatt fluid bed/spouted bed laboratory unit ProCell® LabSystem at the glatt technology center in Weimar, Germany, with six modular all-rounder system (c and d). Tangential spray in rotor insert (Glatt)

ADVANTAGES OF ROTOR-BASED POWDER LAYERING

The rotational movement ensures even distribution of the powder onto the cores, which results in uniform coating thickness and is used for consistent drug delivery characteristics. It is scalable and suitable for both laboratory-scale and industrial-scale production, accommodating varying batch sizes as required [86]. The operators can control parameters such as rotation speed, powder flow rate, and application time to tailor the coating process according to specific formulation requirements [87]. This technique can be used for various applications including modified-release formulations, taste masking, and improving drug stability of drugs. It combines mixing, granulation, and coating into a single operation using a conical rotor apparatus. This approach is valued for its efficiency, providing precise control over particle size, coating uniformity, and overall product quality in a streamlined process. It reduces time, less materials (solvents), leading to lower inventory, and higher active content simply, it enhances efficiency and productivity. It provides higher yields than other granulators and coaters, allows precise control over process parameters and product movement for uniformity, flowability, and density and enables multiple actives in the same beads for an "all in one processing" unit [17,79].

CRITICAL PROCESS PARAMETER (CPP'S) AND IMPACT

The batch size and amount of core beads determine the quantity of material processed and affect the uniformity of the coating. Larger batches may require more precise control to maintain coating quality [27,88-90]. The speed of rotor (rpm) influences the mixing and distribution of the coating material. Too high or too low speed can cause uneven coating or agglomeration of pellets, also low speed affects mixing of liquid and the material [8,9]. Particle size and surface area affect pellet fluidization pattern and velocity and can affect the thickness of the coating. Compared to smaller or lighter pellets, larger or heavier pellets produce a thicker film and release at a slower rate due to their longer residence time in the spray zone [8]. Air flow rate and temperature through the slit affect the drying efficiency and uniformity of the coating; proper control prevents defects such as the peeling or cracking of pellets [91]. Drying air speed, drying time (min), bed temperature, and temperature of product (inlet and outlet air temperature) (℃) influence the efficiency and speed of the drying process, affecting the final product pellets quality [87]. Binder to powder addition rate ratio is crucial for achieving a balanced and effective coating [92]. The improper ratios can lead to weak adhesion or excessive buildup of layering onto pellets [93,94]. Furthermore, it can lead to loss of drug through the exhaust system and sticking on the drying chamber wall like a cake [95]. Spray rate of binder (g/min) determines the amount of binder applied, affecting the coating's adhesion and uniformity of pellets. An appropriate spray rate ensures a consistent and well-adhered coating on the pellets [66,96]. Angle of powder application (spraying nozzle) affects the distribution and coverage of the coating material on the pellets [21,96,97]. Percent (%) yield after processing indicates the efficiency and effectiveness of the coating process, with higher yields indicating better performance of pellets [76,98-100].

CRITICAL QUALITY ATTRIBUTES (CQA'S) AND IMPACT

The particle size distribution (PSD) <100 mm is very cohesive and may lead to agglomeration and uneven coating. It affects drug release and **Table 2: Case studies powder layering technique**

(Contd...)

bioavailability by influencing the surface area and dissolution rate of drug [8]. Bulk density influences flow properties, dosage uniformity, and ensuring accurate dosing during manufacturing process [8]. Particles having smooth surface are chosen over an irregular surface. The irregular surface can increase surface area and the coating solution captured in the pores cannot function as a release barrier. The favored particle shape is spherical with a 1:1 aspect ratio. The cubic or platelike shaped drug substances that likely to compact easily are selected substrates for drug layering and needle-like shape drug substances are undesirable. It affects coating uniformity and drug release by ensuring even application and predictable release profiles of drug [8,42]. Residual solvent concentration, content uniformity, and drug release from coated pellets determine efficacy and patient compliance by controlling the release rate of the drug and ensuring it meets therapeutic needs [99]. To produce successful powder layered pellets, factors should be considered like balancing the powder and binder application rates. The drug assay, content uniformity, and drug release properties are essential quality attributes that must be closely monitored to ensure the coating process is effective and the product meets quality standards. In addition, coating thickness and uniformity are important because they directly impact these quality attributes [23,99].

CHALLENGES ENCOUNTERING THE PROCESS AND WAYS TO OVERCOME THEM

- 1. When the binder spray rate is higher compared to the powder addition rate, the bed becomes overly wet, leading to agglomeration issues. To improve layering efficiency and prevent pellet agglomeration, the ratio between binder spray rate and powder addition rate is crucial to optimize. The binder spray rate must be adjusted based on the surface area of the pellets to ensure even distribution without causing excessive wetness of the product.
- 2. In a fluid bed dryer, although fluidization is efficient, uneven coating distribution can occur due to blind spots. In the case of the rotorprocessor's rotating disk and upward air forces create vigorous agitation that eliminates these blind spots, resulting in even drug layering and uniform coating of the product.
- 3. To control drug or excipient dissolution on a coating surface, adjust the inlet air temperature and spray rate. Applying a seal coat prevents drug migration into the sustaining coat and protects drug-layered pellets from drug loss during cleaning and migration into the functional membrane.
- Consistent coating thickness is important for reliable drug release, which may be influenced by the pellet's surface area. Therefore, using uniform-sized pellets reduces coating variability. The porous drug-layered pellets improve drug migration into the coating due to their larger surface area.
- 5. The high rotor speeds cause pellets to slide rather than rotate in a rope-like motion when the binder is added, leading to uneven binder distribution and pellet clumping while low speeds result in uneven binder distribution. Hence, optimum speed should be carried during the process.

A LIST OF PATENTS RELATED TO ROTOR PROCESSOR/DRY POWDER FOR PELLET COATING

Following Table 1 contains a list of patents related to rotor processors and dry powder technique for pellet coating

SOME RECENT CASE STUDIES ON POWDER LAYERING TECHNIQUE

The researcher has explored this technology, and the following Table 2 data provides a summary of powder layering.

CONCLUSION

Pelletization with dry powder layering using a rotor offers a highyield, efficient method for drug delivery. It provides faster and simpler coating compared to traditional methods, ensuring uniform powder distribution and high binder efficiency. This dry method creates dense, compact pellets with excellent flow, suitable for multi-layer coatings, and is faster and more efficient than liquid coating. The GPCG system features a versatile three-way air-atomizing nozzle, capable of handling dry powder, liquid, and atomizing air simultaneously, allowing for precise adjustments in spray velocity and width. The rotor-based pelletization process, with adjustable airflow and direct nozzle insertion, enables efficient production of high-density pellets and granules with up to 500% weight gain and yield over 95%, while eliminating intermediate transfers and storage. The rotational movement ensures uniform coating, precise control over parameters, and efficient, scalable production, enhancing productivity and product quality.

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CREDIT AUTHORSHIP CONTRIBUTION STATEMENT

Ritik Ramdhani: Writing – original draft, Data dissemination and graphics, Formal Analysis, Methodology, Validation. Purnima Amin: Supervision, Investigation, Writing – review and editing, Validation, Conceptualization, Methodology, and Suraj Bhatt: Supervision, Investigation, Writing – review and editing.

CONFLICTS OF INTEREST

The authors declare that they have no conflicts of interest.

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